This worksheet is used to consider management plans for financial conflicts of interests of investigators.

### 1. Should the investigator be involved in:

- [ ] Subject recruitment?
- [ ] Determination of whether the subject meets inclusion/exclusion criteria?
- [ ] Consent process?
- [ ] Research interventions or procedures?
- [ ] Clinical treatment of subjects, separate from the research interventions or procedures?
- [ ] Clinical evaluation of subjects during the research, separate from the research interventions or procedures?
- [ ] Adverse event evaluation and reporting?

### 2. Considerations for a management plan

- [ ] Disclosure of the financial interests to subjects
- [ ] Public disclosure of the financial interests
- [ ] Appointment of an independent research monitor
- [ ] Change of personnel or personnel responsibilities
- [ ] Disqualification of personnel from participation in all or a portion of the research
- [ ] Reduction of the financial interest
- [ ] Elimination of the financial interest
- [ ] Severance of relationships that create financial conflicts
- [ ] Modification of the research plan
- [ ] Involvement of external individuals in key portions of the research
- [ ] Transfer of IRB responsibilities
- [ ] Retrospective review
- [ ] Mitigation report
- [ ] Monitor the implementation of the management plan

### 3. Notes