

## 1. PURPOSE

- 1.1. This procedure establishes the process to evaluate and manage financial interests <Related to the Research>.
- 1.2. This procedure begins when an individual or the [Organization] discloses a financial interest <Related to the Research>.
- 1.3. This procedure ends when the [Conflicts of Interests Officer] decides that the financial interest is not a conflict of interest, or informs the IRB of the management plan.

## 2. POLICY

- 2.1. The document "Financial Conflict of Interests in Research (Policy Number: 02.52.12)":
  - 2.1.1. Describes when individuals are considered to have an institutional responsibility
  - 2.1.2. Describes when individuals subject to this policy are required to complete financial conflicts of interest training
  - 2.1.3. Defines "Significant Financial Interest"
  - 2.1.4. Describes actions that can be taken in response to violations of this policy or proscribed management plans
  - 2.1.5. Describes retention requirements for records related to disclosures and management of financial conflicts of interest
- 2.2. The financial disclosure threshold for <Human Research> does not vary by funding or regulatory oversight.
- 2.3. The IRB has the authority to decide whether a financial interest and its management, if any, allow the research to meet criteria for approval.

## 3. RESPONSIBILITY

- 3.1. IRB staff members carry out these procedures.

## 4. PROCEDURE

- 4.1. Stop review of the submission.
- 4.2. Refer individual financial interests to the applicable Conflict of Interest Committee.
- 4.3. Refer institutional financial interests to the applicable Conflict of Interest Committee.
- 4.4. Once final review is completed, provide the IRB with the written report, and resume review of the submission.

## 5. REFERENCES

- 5.1. 42 CFR §50
- 5.2. 45 CFR §94